

Schedule T - Fiduciary and Related Services

(Trust Companies)

as of March 31, 2012

| | (Column A) Managed Assets | (Column B) Non-Managed Assets | (Column C) Number of Managed Accounts | (Column D) Number of Non-Managed Accounts | |
|--|---------------------------------|-------------------------------------|--|--|------|
| | (000s) | (000s) | | | |
| FIDUCIARY AND RELATED ASSETS | | | | | |
| 4. Personal trust and agency accounts..... | 3,419,726 | 1,165,909 | 1,449 | 257 | 4. |
| 5. Employee benefit and retirement- related trust and agency accounts: | | | | | 5. |
| a. Employee benefit - defined contribution..... | 520,653 | 2,381,404 | 37 | 23 | 5.a. |
| b. Employee benefit - defined benefit..... | 141,315 | 275,456 | 11 | 7 | 5.b. |
| c. Other employee benefit and retirement-related accounts..... | 508,851 | 167,226 | 574 | 196 | 5.c. |
| 6. Corporate trust and agency accounts. | | | | | 6. |
| 7. Investment management and investment advisory agency accounts..... | 76,959,409 | 291,150 | 4,117 | 45 | 7. |
| 8. Foundation and endowment trust and agency accounts | 337,105 | 106,985 | 106 | 23 | 8. |
| 9. Other fiduciary accounts | 778,446 | 118,682 | 17 | 7 | 9. |
| 10. Total fiduciary accounts (sum of items 4 through 9) | 82,665,506 | 4,506,811 | 6,311 | 558 | 10. |
| 11. Custody and safekeeping accounts..... | | 7,362,241 | | 284 | 11. |
| 12. Not applicable | | | | | |
| 13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11) | 424,228 | 161,727 | 236 | 180 | 13. |

Schedule T - Fiduciary and Related Services

(Trust Companies)

as of March 31, 2012

Memoranda

1. Managed assets held in fiduciary accounts:

| | (Column A) | (Column B) | (Column C) | |
|---|---|---|-------------------------------------|--------|
| | Personal Trust and Agency and Investment Management Agency Accounts | Employee Benefit and Retirement-Related Trust and Agency Accounts | All Other Accounts | |
| | (000s) | (000s) | (000s) | |
| a. Noninterest-bearing deposits..... | 3,265 | 1,812 | 2,023 | M.1.a. |
| b. Interest-bearing deposits..... | 85,753 | 5,844 | 200,103 | M.1.b. |
| c. U.S. Treasury and U.S. Government agency obligations..... | 951,038 | 40,237 | 46,442 | M.1.c. |
| d. State, county and municipal obligations..... | 3,261,641 | 24,241 | 8,392 | M.1.d. |
| e. Money market mutual funds..... | 1,228,159 | 76,237 | 138,582 | M.1.e. |
| f. Equity mutual funds..... | 4,129,774 | 272,971 | 69,781 | M.1.f. |
| g. Other mutual funds..... | 2,096,355 | 133,575 | 52,094 | M.1.g. |
| h. Common trust funds and collective investment funds..... | 11,049,278 | | | M.1.h. |
| i. Other short-term obligations..... | 29,898 | | 100 | M.1.i. |
| j. Other notes and bonds..... | 11,320,265 | 326,057 | 720,445 | M.1.j. |
| k. Investments in unregistered funds and private equity investments..... | 55,584 | 36,395 | 15,479 | M.1.k. |
| l. Other common and preferred stocks..... | 35,341,039 | 236,971 | 7,789,443 | M.1.l. |
| m. Real estate mortgages..... | 75,995 | 41 | 73 | M.1.m. |
| n. Real estate..... | 369,302 | 4,050 | 2,308 | M.1.n. |
| o. Miscellaneous assets..... | 2,402,364 | 12,389 | 49,709 | M.1.o. |
| p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o) | 72,399,710 | 1,170,820 | 9,094,974 | M.1.p. |
| | | (Column A) Managed Assets | (Column B) Number of Managed Assets | |
| q. Investments of managed fiduciary accounts in advised or sponsored mutual funds..... | | 6,130,722 | 10,560 | M.1.q. |
| | | | | |

(Trust Companies)
as of March 31, 2011

2. Corporate trust and agency accounts:

| | | |
|---|--|---------|
| a. Corporate and municipal trusteeships..... | | M.2.a. |
| (1) Issues reported in Memoranda 2a. that are in default..... | | M.2.a.1 |
| b. Transfer agent, registrar, paying agent, and other corporate agency..... | | M.2.b. |

- a. Domestic equity.....
- b. International/Global equity.....
- c. Stock/Bond blend.....
- d. Taxable bond.....
- e. Municipal bond
- f. Short term investments/Money market.....
- g. Specialty/Other.....
- h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....

| (Column A) Number of Funds | (Column B) Market Value of Fund Assets (000s) | |
|----------------------------------|--|-------|
| | | |
| 6 | 388,120 | M.3.a |
| 24 | 7,602,672 | M.3.b |
| 5 | 1,203,038 | M.3.c |
| 17 | 3,286,317 | M.3.d |
| | | M.3.e |
| | | M.3.f |
| | | M.3.g |
| | | |
| 52 | 12,480,147 | M.3.h |

P.1. Trust business for which securities are
on deposit with the State Treasurer....

| | (Column A) Court Trusts (000s) | (Column B) Private Trusts (000s) | |
|--|--------------------------------------|--|------|
| P.1. Trust business for which securities are on deposit with the State Treasurer..... | 44,956 | 94,488,772 | P.1. |
| P.2. Less: real estate..... | | | P.2. |
| P.3. Trust business on which security is required..... | 44,956 | 94,488,772 | P.3. |
| P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code..... | 1,750 | 900 | P.4. |
| P.5. Market value of securities on deposit with the State Treasurer..... | 2,123 | 1,438 | P.5. |
| P.6. Excess or deficiency; denote negative amount in ()..... | 373 | 538 | P.6. |

Schedule T - Fiduciary and Related Services
(Trust Companies)
as of March 31, 2012

INCOME

| FIDUCIARY AND RELATED SERVICES INCOME | (000s) | |
|--|----------|-------|
| 14. Personal trust and agency accounts..... | 5,325 | 14. |
| 15. Employee benefit and retirement-related trust and agency accounts: | | |
| a. Employee benefit-defined contribution | 367 | 15.a. |
| b. Employee benefit-defined benefit..... | 156 | 15.b. |
| c. Other employee benefit and retirement-related accounts..... | 668 | 15.c. |
| 16. Corporate trust and agency accounts | | 16. |
| 17. Investment management and investment advisory agency accounts | 67,567 | 17. |
| 18. Foundation and endowment trust and agency accounts..... | 525 | 18. |
| 19. Other fiduciary accounts | 890 | 19. |
| 20. Custody and safekeeping accounts | 274 | 20. |
| 21. Other fiduciary and related services income..... | 4,185 | 21. |
| 22. Total gross fiduciary and related services income (sum of items 14 through 21) | 79,957 | 22. |
| 23. Less: Expenses..... | 103,771 | 23. |
| 24. Less: Net losses from fiduciary and related services..... | 33 | 24. |
| 25. Plus: Intracompany income credits for fiduciary and related services | 8,988 | 25. |
| 26. Net fiduciary and related services income | (14,859) | 26. |

Memoranda

| | (Column A) Gross Losses Managed Accounts (000s) | (Column B) Gross Losses Non-Managed Accounts (000s) | (Column C) Recoveries (000s) | |
|---|---|---|------------------------------------|--------|
| 4. Fiduciary settlements, surcharges, and other losses | | | | |
| a. Personal trust and agency accounts..... | 25 | 8 | | M.4.a. |
| b. Employee benefit and retirement-related trust and agency... accounts | | | | M.4.b. |
| c. Investment management and investment advisory..... agency accounts..... | | | | M.4.c. |
| d. Other fiduciary accounts and related services..... | | | | M.4.d. |
| e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21)..... | 25 | 8 | | M.4.e. |